



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Patent of

Patent No.5,413,774

Atty. Ref.: 1201-55

Granted: May 9, 1995

For: LONG-LASTING AQUEOUS DISPERSIONS OR  
SUSPENSIONS OF PRESSURE RESISTANT GAS  
FILLED MICROVESICLES AND METHODS FOR THE  
PREPARATION THEREOF

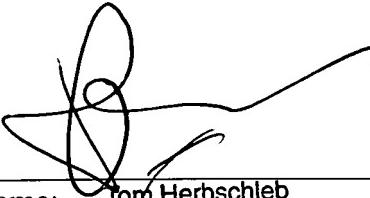
\* \* \* \* \*

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

**CONSENT OF ASSIGNEE AND OFFER TO SURRENDER**

I, Tom Herbschleb, am authorized to act on behalf of Bracco International B.V., of Amsterdam, The Netherlands, assignee of the entire right, title and interest of U.S. Patent No 5,413,774 by virtue of assignments recorded on April 8, 1994 at reel 6933, frame 0824 and September 1, 1998 at reel 9429, frame 0562, and hereby consent to the filing of an application for reissue of said U.S. Patent and hereby offer to surrender the original U.S. Patent No. 5,413,774 when required to do so in these reissue proceedings.

  
\_\_\_\_\_  
Name: Tom Herbschleb  
Title : Proxy Holder

Date: 26 NOV. 2003

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TECH CENTER 1600/2800



## RECORDATION FORM COVER SHEET

## PATENTS ONLY

Our Ref: 1201-1

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Sintetica S.A.2. Name and address of receiving party(ies):  
Name: BRACCO INTERNATIONAL B.V.

Additional name/s of conveying party/ies attached? [ no ]

3. Nature of conveyance:

- [ X ] Assignment      / 9      [ ] Merger  
 [ ] Security Assignment      [ ] Change of Name  
 [ ] Other

Execution Date: 28 March 1994

Internal Address:

Street Address: 7, De Boelelaan

City: Amsterdam

State/Country: The Netherlands

Zip: 1083 HJ

4. Application number(s)or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No(s).

- (1) 08/128,540 (4) 07/855,032  
 (2) 08/033,345 (5) 07/861,889  
 (3) 07/824,311 (6) 07/975,533

## B. Patent No(s).

- (1) 5,271,928  
 (2)  
 (3)

Additional numbers attached [ X ] Yes [ ] No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications &amp; patents involved: 10

Name: Leonard C. Mitchard

7. Total fee (37 CFR 3.41) ..... \$ 400.00

Internal Address:

[ X ] Enclosed

Street Address: Nixon &amp; Vanderhye P.C.

[ X ] Authorized to be charged to deposit account #14-1140

1100 North Glebe Road

(Attach duplicate copy of this page if paying by deposit account)

8th Floor

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which

City: Arlington State: VA Zip: 22201

should have been filed herewith (or with any paper thereafter filed in this application by this form) to our Account No.

14-1140. A duplicate copy of this sheet is attached.

090 BA 04/20/94 08128540

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## 9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leonard C. Mitchard

Name of Person Signing  
Reg. N.

Signature

April 8, 1994

Date

91798267

Total number of pages including cover sheet, attachments, and document: [ ]

FILED 6.9.33 FRAME 824

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RECORDATION FORM COVER SHEET

**PATENTS ONLY**

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  Additional name/s of conveying party/ies attached? [ ] 3. Nature of conveyance:  [ X ] Assignment      [ ] Merger [ ] Security Assignment      [ ] Change of Name [ ] Other _____  Execution Date: _____	2. Name and address of receiving party(ies): Name: _____  Internal Address: _____ Street Address: _____  City: _____ State/Country: _____ Zip: _____  Additional name/s & address/es attached? [ ] Yes [ X ] No
4. Application number(s)or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____  A. Patent Application No(s). (7) 07/991,237 (9) 08/134,671 (8) 08/096,414  B. Patent No(s). (1) (2) (3)  Additional numbers attached [ ] Yes [X] No	

REF ID: A6933 FRAME 825

## ASSIGNMENT

WHEREAS, SINTETICA S.A. a Swiss company having a place of business at 6850 Mendrisio, Switzerland, is the owner, by assignment, of the United States patent applications shown on the attached list identified as Schedule A.

WHEREAS, BRACCO INTERNATIONAL B.V., 7, De Boelelaan, 1083 HJ Amsterdam, The Netherlands but also having offices at 1, Riva Caccia, 6900 Lugano, is desirous of acquiring from SINTETICA S.A. the entire right, title and interest in, to and under the aforesaid patents and the inventions therein described and claimed.

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, SINTETICA S.A. does hereby sell, assign and transfer unto said BRACCO INTERNATIONAL B.V., its successors and assigns, said inventions, applications and any Letters Patent and reissue of said Letters Patent, the same to be held and enjoyed by BRACCO INTERNATIONAL B.V. for its own use and enjoyment and the use and enjoyment of its successors and assigns, and other legal representatives, to the end of the term for which Letters Patent is granted or reissued, as fully and entirely as the same would have been held and enjoyed by SINTETICA S.A. if this assignment and sale had not been made, together with the right to sue for and collect the same for BRACCO INTERNATIONAL B.V.'s own use and enjoyment.

SINTETICA S.A.

Date: 28.01.1994

By: Diana Bracco

No. 2896 / Lugano 28 marzo 1994 Dr. Diana Bracco

The undersigned Notary Public certifies that he is acquainted with Dr. Diana Bracco and that the forgoing is her true signature; more over, that he knows that SINTETICA S.A. is a company legally organized in accordance with the laws of Switzerland and that Dr. Diana Bracco, who signs in its name and stead is its officer and has full authorisation to sign.

Date: Lugano, 28 marzo 1994

By: Pierpaolo Caldelari

Notary Public

REF ID: 6933 FRAME 826



## SCHEDULE A

- 1a) US Pat No. 5,271,928 - issued December 21, 1993 - Stable Microbubbles Suspensions Injectable into Living Organisms - (Our case: S001/US/EchoII).
- 1b) US Serial No. 08/128,540 - filed September 29, 1993 which is a divisional of US Pat No. 5,271,928 (Our case: S001/US/EchoII/div).
- 2) US Serial No. 08/033,345 - filed March 18, 1993 which is continuation application of abandoned US Serial No. 07/695,343 - filed May 3, 1991 - Polymeric Gas- or Air- Filled Microballoons Usable as Suspensions in Liquid Carriers for Ultrasonic Echogaphy - (Case: S002/US/EchoI).
- 3) US Serial No. 07/824, 311 - filed January 23, 1992 - Compositions for Increasing the Image Contrast in Diagnostic Investigations of Digestive Tract of Patients - (Case: S003/US/Bioca).
- 4) US Serial No. 07/855, 032 - filed May 6, 1992 - Method for the Preparation of Stable Suspensions of Hollow Gas-filled Microspheres Suitable for Ultrasonic Echography - (Case: S004/US/Echofood).
- 5) US Serial No. 07/861, 889 - filed June 22, 1992 - Method for Making Liposomes of Enhanced Entrapping Capacity Toward Foreign Substances to be Encapsulated - (Case: S005/US/Steril lip).
- 6) US Serial No. 07/975,533 - filed February 18, 1993 - A Method of Making Conjugate Moieties Capable of Chelating Paramagnetic Metals and Designed for Coupling with a Factor Responsive to Specific Cellular Marker Sites - (Case: S006/US/Palacios).
- 7) US Serial No. 07/991, 237 - filed December 16, 1992 - Long Lasting Aqueous Dispersions or Suspensions of Pressure-resistant Gas-filled Microvesicles and Methods for the Preparation Thereof (Case: S007/US/Echogas).
- 8) US Serial No. 08/096, 414 - filed July 26, 1993 - Particles for NMR Imaging and Method of Manufacture - (Case: S008/US/Stealth mag).

REEL 6933 FRAME 827

Schedule A

Page 2

9) US Serial No. 08/134,671 - filed October 12, 1993 - Stable Microbubble Suspensions as Enhancement Agents for Ultrasound Echography- (Case: S009/US/EchoIIb).

REEL 6933 FRAME 828

RECORDED  
U.S. TRADEMARK OFFICE

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JANUARY 07, 1999

PTAS

NIXON & VANDERHYE P.C.  
ARTHUR R. CRAWFORD  
1100 NORTH GLEBE ROAD  
8TH FLOOR  
ARLINGTON, VA 22201

**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/01/1998

REEL/FRAME: 9429/0178  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BUSSAT, PHILIPPE

DOC DATE: 08/10/1998

ASSIGNOR:

HYBL, EVA

DOC DATE: 08/18/1998

ASSIGNOR:

BICHON, DANIEL

DOC DATE: 08/25/1998

ASSIGNEE:

BRACCO INTERNATIONAL B.V.  
STRAWINSLYLAAN 3051  
1077 ZX  
AMSTERDAM, NETHERLANDS

SERIAL NUMBER: 09115963

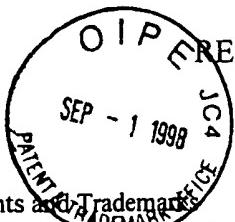
FILING DATE: 07/15/1998

PATENT NUMBER:

ISSUE DATE:

9429/0178 PAGE 2

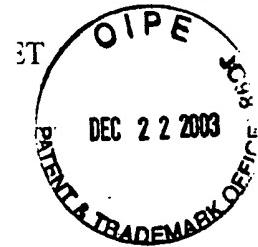
TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



09-09-1998



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Our Ref.: 1201-

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Philippe BUSSAT  
Eva HYBL  
Daniel BICHON

MKB  
9/1/98

Additional name/s of conveying party/ies attached?

3. Nature of conveyance:

- Assignment       Merger  
 Security Assignment       Change of Name  
 Other

Execution Date: August 10, 18, 25, 1998

2. Name and address of receiving party(ies):

Name: Bracco International B.V.

Internal Address:

Street Address: Strawinskyalaan 3051  
1077 ZX

City: Amsterdam

State/Country: The Netherlands

Zip:

Additional name/s & address/es attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s).

- (1) 09/115,963  
(2)  
(3)

B. Patent No(s).

- (1)  
(2)  
(3)

Additional numbers attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arthur R. Crawford

Internal Address: \_\_\_\_\_

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8<sup>th</sup> Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

09/08/1998 JSHBQAZZ 00000053 141140 09115963

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9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

For Arthur R. Crawford

Name of Person Signing  
Reg. No. 25,327

BS, *Ranjana* 71,352

Signature

September 1, 1998

Date

Total number of pages including original cover sheet, attachments, and document: [3]

1201-55

# ASSIGNMENT OF PATENT APPLICATION

(Philippe BUSSAT  
(Eva HYBL  
Daniel BICHON

(Inventors)

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(Assignee)  
(Address)  
  
(Bracco International B.V.  
(of Strawinskyalaan 3051, 1077 ZX, , Amsterdam, The Netherlands  
(hereinafter designated as the Assignee) the undersigned's entire right, title and interest for the United States, its territories, dependencies and possessions, and for the country of

( in the invention, and all application for patent and any Letters Patent which may be granted therefore, known as  
**LONG-LASTING AQUEOUS DISPERSIONS OR SUSPENSIONS OF PRESSURE-RESISTANT GAS-FILLED MICROVESICLES AND METHODS FOR THE**

**PREPARATION THEREOF (Case No. 1201-55)**

for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed (on or has already filed in

(U.S. appln. Serial No. 09/115,963, filed on July 15, 1998

(The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date <u>10.08.98</u>	Signature of inventor ( <u>Philippe Buna</u> )
Date <u>18.08.98</u>	Signature of inventor ( <u>Daniel Brichum</u> )
Date <u>25.08.98</u>	Signature of inventor ( <u>Ema Oylel</u> )
Date _____	Signature of inventor ( _____ )
Date _____	Signature of inventor ( _____ )
Date _____	Signature of inventor ( _____ )
Date _____	Signature of inventor ( _____ )

Witnessed by: <u>U. Conn</u>	Date <u>10/08/98</u>
Witnessed by: <u>F. Laurent</u>	Date <u>18/08/98</u>
Witnessed by: <u>F. Sury</u>	Date <u>25 August 1998</u>

# Patent Assignment Abstract of Title

**NOTE: Results display only for issued patents and published applications.**  
**For pending or abandoned applications please consult USPTO staff.**

**Total Assignments: 4**

**Patent #:** 5413774    **Issue Dt:** 05/09/1995    **Application #:** 07991237    **Filing Dt:** 12/16/1992

**Inventors:** MICHEL SCHNEIDER, FENG YAN, PASCAL GRENIER, NADINE GARCEL, JEROME PUGINIER et al

**Title:** LONG-LASTING AQUEOUS DISPERSIONS OR SUSPENSIONS OF PRESSURE-RESISTANT GAS-FILLED MICROVESICLES AND METHODS FOR THE PREPARATION THEREOF

**Assignment: 1**

**Reel/Frame:** 006527/0572

**Recorded:** 03/17/1993

**Pages:** 2

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignors:** SCHNEIDER, MICHEL

**Exec Dt:** 12/14/1992

FENG, YAN

**Exec Dt:** 12/14/1992

GARCEL, NADINE LEGAL HEIR OF PASCAL GRENIER  
(DECEASED)

**Exec Dt:** 12/14/1992

PUGINIER, JEROME

**Exec Dt:** 12/14/1992

**Assignee:** SINTETICA S.A.

7, ROUTE DE DRIZE CAROUGE  
GENEVA, SWITZERLAND CH-12

**C rrespondent:** NIXON & VANDERHYE P.C.

LEONARD C. MITCHARD  
1100 NORTH GLEBE ROAD, 8TH FLOOR  
ARLINGTON, VIRGINIA 22201

**Assignment: 2**

**Reel/Frame:** 006527/0574

**Recorded:** 03/17/1993

**Pages:** 2

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Assignor:** BARRAU, MARIE-BERNADETTE

**Exec Dt:** 12/14/1992

**Assignee:** SINTETICA S.A.

7, ROUTE DE DRIZE CAROUGE  
GENEVA, SWITZERLAND CH-12

**C rrespondent:** NIXON & VANDERHYE P.C.

LEONARD C. MITCHARD  
1100 NORTH GLEBE ROAD, 8TH FLOOR  
ARLINGTON, VIRGINIA 22201

**Assignment: 3**

**Reel/Frame:** 006933/0824

**Recorded:** 04/08/1994

**Pages:** 5

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

**Exec Dt:** 03/28/1994

**Assignor:** SINTETICA S.A.

**Assignee:** BRACCO INTERNATIONAL B.V.

7, DE BOELELAAN AMSTERDAM, THE NETHERLANDS 1083 HJ

**C rrespondent:** NIXON & VANDERHYE P.C.

LEONARD C. MITCHARD  
1100 NORTH GLEBE ROAD  
8TH FLOOR  
ARLINGTON, VA 22201

**Assignment: 4****Reel/Frame:** 009429/0562**Recorded:** 09/01/1998**Pages:** 3**Coveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** BUSSAT, PHILIPPE**Exec Dt:** 08/10/1998HYBL, EVA**Exec Dt:** 08/18/1998BICHON, DANIEL**Exec Dt:** 08/25/1998**Assignee:** BRACCO INTERNATIONAL, INC.

STRAWINSLYLAAN 3051

1077 ZX AMSTERDAM, NETHERLANDS

**Respondent:** NIXON & VANDERHYE P.C.

ARTHUR R. CRAWFORD

1100 NORTH GLEBE ROAD, 8TH FLOOR

ARLINGTON, VA 22201

Search Results as of: 10/29/2003 1:11:13 P.M.

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Web interface last modified: Oct. 5, 2002